TETSUSHI SONOBE

NAME Tetsushi SONOBE I changed my last name from Honda to Sonobe in 1994.

(first name) (last name)

SEX Male

DATE OF BIRTH May 19, 1960

CITIZENSHIP Japanese

OFFICE ADDRESS National Graduate Research Institute for Policy Studies (GRIPS)

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Position Director of the International Development Studies Program, Advisor to the

university President, and Professor at GRIPS

EDUCATION B.A. in economics, University of Tokyo, 1984

Ph.D. in economics, Yale University, 1992

Advisors: T.N. Srinivasan, Koichi Hamada

Thesis: Managerial Resource Accumulation and International Trade

AWARDS AND GRANTS

Awards

Ouchi Hyoe Prize, the Faculty of Economics, University of Tokyo, 1984

Nikkei Prize for Outstanding Book Publication, 2004

Masayoshi Ohira Memorial Prize, the Mashoyoshi Ohira Memorial Foundation, 2007

Fellowships

Yale University Scholarship, 1987-1991

Alfred P. Sloan Foundation Doctoral Dissertation Fellowship, 1991-1992

Grants

Grants-in-aid, the Japan Society for the Promotion of Science (JSPS) 2012-2014.

Research grant, Takahashi Industrial and Economic Research Foundation, 2012.

Research grant, the Japan Securities Scholarship Foundation, 2011-2012.

PROFESSIONAL EXPERIENCE

Assistant professor at the Faculty of Economics, Tokyo Metropolitan University, 1992-1993

Associate professor at the Faculty of Economics, Tokyo Metropolitan University, 1993 – 2001

Professor of economics, Tokyo Metropolitan University, 2001-2003

Professorial fellow at the Foundation for Advanced Studies on International Development (FASID), 2003-2011

Professor at the National Graduate Research Institute for Policy Studies (GRIPS), 2003-present

Director of the International Development Studies Program, GRIPS, 2011-present

Adviser to the President, GRIPS, 2012-present

OTHER APPOINTMENT

A special research fellow at the Research Institute of International Trade and Industry, Ministry of International Trade and Industry, Japan, 1993-1995

A visiting researcher at the Asian Development Bank, Manila, 1996, 1997

A visiting researcher at the University of the Philippines School of Economics, Diliman, Manila, 1998

A visiting researcher at the Economic Research Institute of the Economic Planning Agency, Japan, 1999-2000

A short term consultant for the World Bank, 2007-2009, 2010-2011

An associate member of the Science Council of Japan (for the 21st and 22nd periods), 2008 - present

A short term consultant for the United Nations Industrial Development Organization (UNIDO), 2009

An advisor to the Ethiopia KAIZEN Project implemented by Japan International Cooperation Agency (JICA), 2010-2011

A member of the selection committee for the Okita Memorial Prize for International Development Research, FASID, 2011- present

An advisor to the project for Improvements in Quality and Productivity in Africa Region implemented by JICA, 2012-2015

PUBLICATIONS

Books in English

- Cluster-Based Industrial Development: An East Asian Model, New York: Palgrave Macmillan, August 2006 (with Keijiro Otsuka).
- Cluster-Based Industrial Development: A Comparative Study of Asia and Africa, New York: Palgrave Macmillan, 2011 (with Keijiro Otsuka).

Books in Japanese

- Roots and Strategies of Industrial Development: Lessons form the East Asian Experience, Tokyo: Chisen-Shokan, June 2004 (with Keijiro Otsuka). (This book was awarded Nikkei Prize for Outstanding Book Publication.)
- Market and Economic Development: Toward Poverty Reduction in Developing Countries, Tokyo: Toyo-Keizai-Shimposha, March 2006 (with Yasuyuki Sawada). (This book was awarded Masayoshi Ohira Memorial Prize.)
- Location and Economic Development: Toward Poverty Reduction in Developing Countries, Tokyo: Toyo-Keizai-Shimposha, March 2010 (with Masahisa Fujita).

Edited Special Issues

"Economic Agglomeration," *Journal of the Japanese and International Economies*, Volume 10, Issue 4, edited with Taketoshi Ito and Motoshige Itoh, December 1996.

Journal Articles in English

- Hamada, Koichi and Honda, Tetsushi,* "Engine of the Rising Sun: Productivity Growth in Postwar Japan," *Revisita di Politica Economica* 12, December 1992, pp. 397-438. (* I changed my surname from Honda to Sonobe in 1994.)
- Sonobe, Tetsushi, Ito, Takatoshi, and Itoh, Motoshige, "Editors' Introduction," *Journal of the Japanese and International Economies* 10, December 1996, pp. 335-38.
- Sonobe, Tetsushi and Otsuka, Keijiro, "A New Decomposition Approach to Growth Accounting: Derivation of the Formula and its Application to Prewar Japan," *Japan and the World Economy*, January 2001, vol. 13, no. 1, pp. 1-14.
 doi:10.1016/S0922-1425(00)00050-5
- Otsuka, Keijiro, Suyanto, S., Sonobe, Tetsushi, and Thomas P. Tomich, "Evolution of Customary Land Tenure and Development of Agroforestry: Evidence from Sumatra," *Agricultural Economics* Vol. 25, Issue 1, June 2001, pp. 85-101. doi:10.1016/S0169-5150(00)00098-0
- Sonobe, Tetsushi, Hu, Dinghuan, and Otsuka, Keijiro, "Process of Cluster Formation in China: A Case Study of a Garment Town," *Journal of Development Studies*, October 2002, Vol.

- 39, No. 1, pp.118-139. DOI: 10.1080/00220380412331322691
- Yamamura, Eiji., Sonobe, Tetsushi, and Otsuka, Keijiro, "Human Capital, Cluster Formation, and International Relocation: The Case of the Garment Industry in Japan, 1968-98," *Journal of Economic Geography*, January 2003, Vol. 3, Issue 1, pp. 37-56. doi:10.1093/jeg/3.1.37
- Sonobe, Tetsushi, Kawakami, Momoko, and Otsuka, Keijiro, "Changing Roles of Innovation and Imitation in Industrial Development: The Case of the Machine Tool Industry in Taiwan," *Economic Development and Cultural Change*, October 2003, Vol. 52, No. 1, pp. 103-128. DOI: 10.1086/380105

 http://www.journals.uchicago.edu/doi/pdf/10.1086/380105
- Sonobe, Tetsushi, Hu, Dinghuan, and Otsuka, Keijiro, "From Inferior to Superior Products: An Inquiry into the Wenzhou Model of Industrial Development in China," *Journal of Comparative Economics*, Vol. 32, Issue 3, September 2004, pp. 542-563, doi:10.1016/j.jce.2004.05.001
- Yamamura, Eiji, Sonobe, Tetsushi, and Otsuka, Keijiro, "Time Paths in Innovation, Imitation, and Growth: The Case of the Motorcycle Industry in Postwar Japan," *Journal of Evolutionary Economics*, Vol. 15, Number 2, April 2005, pp. 164-186. DOI: 10.1007/s00191-004-0239-3
- Sonobe, Tetsushi and Otsuka, Keijiro, "The Division of Labor and the Formation of Industrial Clusters in Taiwan," *Review of Development Economics*, Vol. 10, Issue 1, February 2006, pp. 71-86. DOI: 10.1111/j.1467-9361.2005.00301.x
- Sonobe, Tetsushi, Hu, Dinghuan, and Otsuka, Keijiro, "Industrial Development in the Inland Region of China: A Case Study of the Motorcycle Industry," *Journal of Comparative Economics*, Vol. 34, Issue 4, December 2006, 818-838. doi:10.1016/j.jce.2006.07.001
- Yue, Yaguchi, Sonobe, Tetsushi, and Otsuka, Keijiro, "Beyond the Environmental Kuznets Curve: A Comparative Study of SO₂ and CO₂ Emissions between Japan and China," *Environment and Development Economics*, Vol.12, Issue 3, June 2007, pp. 445-470. Doi: 10.1017/S1355770X07003592
- Sonobe, Tetsushi, Akoten, John, and Otsuka, Keijiro, "An Exploration into the Successful Development of the Leather-Shoe Industry in Ethiopia." *Review of Development Economics*, 13 (4), November 2009, pp.719-736. DOI:10.1111/j.1467-9361.2009.00526.x
- Nam, Vu Hoang, Sonobe, Tetsushi, and Otsuka, Keijiro, "An Inquiry into the Transformation Process of Village-based Industrial Clusters: The Case of an Iron and Steel Cluster in Northern Vietnam." *Journal of Comparative Economics*, Volume 37, Issue 4, December 2009, pages 568-581. DOI: 10.1016/j.jce.2009.08.003

- Nam, Vu Hoang, Sonobe, Tetsushi, and Otsuka, Keijiro, "An Inquiry into the Development Process of Village Industry: The Case of a Knitwear Cluster in Northern Vietnam." *Journal of Development Studies* 46 (2), February 2010, pp. 312-330.

 DOI:10.1080/00220380902952373
- Sonobe, Tetsushi, Akoten, John, and Otsuka, Keijiro, "Growth Process of Informal Enterprises in Sub-Saharan Africa: A Case Study of a *Jua Kali* Cluster in Nairobi." *Small Business Economics*, 36 (3), April 2011, pp. 323-335. DOI: 10.1007/s11187-009-9222-6
- Kimura, Shingo, Otsuka, Keijiro, Sonobe, Tetsushi, and Scott Rozelle, "Efficiency of Land Allocation through Tenancy Markets: Evidence from China." *Economic Development and Cultural Change*, 39(3), April 2011, pp.485-510. DOI: 10.1086/649639
- Zhang, Haiyang and Sonobe, Tetsushi, "Development of Science and Technology Parks in China, 1988-2008," *Economics: The Open-Access, Open-Assessment E-Journal*, Vol.5, 2011-6. http://dx.doi.org/10.5018/economics-ejournal.ja.2011-6
- Zhang, Haiyang and Sonobe, Tetsushi, "Business Incubators in China: An Inquiry into the Variables Associated with Incubatee Success." *Economics: The Open-Access, Open-Assessment Journal*, Vol. 5, 2011-7. Doi:10.5018/economics-ejournal.ja.2011-7 http://dx.doi.org/10.5018/economics-ejournal.ja.2011-7
- Mottaleb, Khondoker Abdul, and Sonobe, Tetsushi, "An Inquiry into the Rapid Growth of the Garment Industry in Bangladesh." *Economic Development and Cultural Change*, Vol. 60, No.1, October 2011, pp. 67-89. DOI: 10.1086/661218
- Mano, Yukichi, Iddrisu, Alhassan, Yoshino, Yutaka, and Sonobe, Tetsushi, "How Can Micro and Small Enterprises in Sub-Saharan Africa Become More productive? The Impacts of Experimental Basic Managerial Training," World Development, 40 (3), March 2012, pp. 458-468. Doi:10.1016/j.worlddev.2011.09.013
- Gebreeyesus, Mulu, and Sonobe, Tetsushi, "Global value chains and market formation process in emerging export activity: evidence from Ethiopian flower industry," *Journal of Development Studies*, 48 (3), March 2012, pp.335-48. http://dx.doi.org/10.1080/00220388.2011.635199
- Babur, Wasim Arif and Sonobe, Tetsushi, "Virtual Incubation in Industrial Clusters: A Case Study in Pakistan," *Journal of Development Studies*, 48 (3), March 2012, pp. 377-92. http://dx.doi.org/10.1080/00220388.2011.621946
- Iddrisu, Alhassan, Mano, Yukichi, and Sonobe, Tetsushi, "Entrepreneurial Skills and Industrial Development: The Case of a Car Repair and Metalworking Cluster in Ghana." *Journal of the Knowledge Economy*, 3 (3), September 2012, pp. 302-326.

 DOI: 10.1007/s13132-011-0047-6

Mottaleb, Khondoker Abdul, and Sonobe, Tetsushi, "The Development Process of Rural Informal Industries in Developing Countries: The Case of Bangladesh." *Journal of Developing Areas*, forthcoming.

Book Chapters in English

- Suzuki, Kazuyuki, Nambu, Tsuruhiko, and Honda,* Tetsushi. "Deregulation in Japan," in Robert W. Crandall and Kenneth Flamm, eds., *Changing the Rules: Technological Change, International Competition, and Regulation in Communications*. Washington DC: The Brookings Institution, 1989. (*I changed my surname from Honda to Sonobe in 1994)
- Hamada, Koichi, and Honda,* Tetsushi. "Engine of the Rising Sun: Productivity Growth in Postwar Japan," in Mario Baldassari, Luigi Paganetto, and Edmund S. Phelps, eds., *International Differences in Growth Rates: Market Globalization and Economic Areas*. Basingstoke: Macmillan, 1994.
- Sonobe, Tetsushi. "Externalities and Productivity Growth: Evidence from the Japanese Manufacturing Industry," in Ryuzo Sato, Rama Ramachandran, and Hajime Hori, eds., *Organization, Performance, and Equity: Perspectives on the Japanese Economy*. London and Dordrecht: Kluwer Academic, 1996, pp. 215-44.
- Sonobe, Tetsushi, and Otsuka, Keijiro. "Changing Industrial Structure and Economic Development: Prewar Japan Revisited," in Gustav Ranis, Sheng-Cheng Hu, and Yun-Peng Chu, eds., *The Political Economy of Comparative Development into the 21st Century*, volume 1. London: Edward Elgar, 1999, pp. 189-210.
- Sonobe, Tetsushi, and Otsuka, Keijiro. "Productivity Effects of TVE Privatization: The Case of Garment and Metal Casting Enterprises in the Great Yangtze River Region," in Anne Krueger and Takatoshi Ito, eds., *Governance, Regulation, and Privatization in the Asia-Pacific Region.* Chicago: University of Chicago Press, 2004.
- Estudillo, Jonna P., Sonobe, Tetsushi, and Otsuka, Keijiro, "Development of the Rural Non-farm Sector in the Philippines and Lessons from the East Asian Experience." In A. M. Balisacan, H. Hills, and S. F. A. Piza, eds., *The Dynamics of Regional Development: The Philippines in East Asia*. Cheltenham, UK: Edward Elger, December 2006; and Manila, the Philippines: Ateneo de Manila University Press, 2007.
- Otsuka, Keijiro, and Sonobe, Tetsushi. "Strategy for Cluster-Based Industrial Development in Developing Countries," in P. Bala Bhaskaran, ed., *Cluster Initiatives: Engine of Economic Growth*. Hyderabad, India: The Icfai University Press.
- Otsuka, Keijiro, and Sonobe, Tetsushi, "Geography of Cluster-Based Industrial Development," in Yukon Huang and Alessandoro Magnoli Bocchi, eds., *Reshaping Economic Geography in East Asia*. Washington D.C.: The World Bank, 2009. DOI: 10.1596/978-0-8213-7641-6
- Otsuka, Keijiro, and Sonobe, Tetsushi, "Cluster-Based Industrial Development: Applicability of

Japanese Experience to Contemporary Developing Countries," in Koichi Hamada, Keijiro Otsuka, Gustav Ranis, and Ken Togo, eds., *Miraculous Growth and Stagnation in Post-War Japan* (Routledge Studies in the Modern World Economy) , New York: Routledge, 2011.

Discussion Papers

- Sonobe, Tetsushi, and Otsuka, Keijiro, "Productivity Effects of TVE Privatization: The Case of Garment and Metal Casting Enterprises in the Great Yangtze River Region," NBER Working Paper 9621, National Bureau of Economic Research, Inc., Cambridge, Massachusetts, April 2003. http://www.nber.org/papers/w9621.pdf
- Sonobe, Tetsushi, Akoten, John, and Otsuka, Keijiro, "The Development of the Footwear Industry in Ethiopia: How Different Is It from the East Asian Experience?" Discussion Paper Series on International Development Strategies 2006-09-003, FASID.
- Sonobe, Tetsushi, Hu, Dinghuan, and Otsuka, Keijiro, "Industrial Development in the Inland Region of China: A Case Study of the Motorcycle Industry," Discussion Paper Series on International Development Strategies 2006-09-004, FASID.
- Kimura, Shingo, Otsuka, Keijiro, Sonobe, Tetsushi, and Scott Rozelle, "Efficiency of Land Allocation through Tenancy Markets: Evidence from China." GCOE Economics Working Paper 5, GRIPS. http://www3.grips.ac.jp/~globalcoe/j/index.html
- Nam, Vu Hoang, Sonobe, Tetsushi, and Otsuka, Keijiro, "An Inquiry into the Transformation Process of Village-based Industrial Clusters: The Case of an Iron and Steel Cluster in Northern Vietnam." GCOE Economics Working Paper 7, GRIPS. http://www3.grips.ac.jp/~globalcoe/j/index.html
- Sonobe, Tetsushi, Akoten, John, and Otsuka, Keijiro, "Growth Process of Informal Enterprises in Sub-Saharan Africa: A Case Study of a *Jua Kali* Cluster in Nairobi." GCOE Economics Working Paper 8, GRIPS. http://www3.grips.ac.jp/~globalcoe/j/index.html
- Nam, Vu Hoang, Sonobe, Tetsushi, and Otsuka, Keijiro, "An Inquiry into the Development Process of Village Industry: The Case of a Knitwear Cluster in Northern Vietnam." GCOE Economics Working Paper 9, GRIPS. http://www3.grips.ac.jp/~globalcoe/j/index.html
- Sonobe, Tetsushi, Akoten, John, and Otsuka, Keijiro, "An Exploration into the Successful Development of the Leather-Shoe Industry in Ethiopia." GCOE Economics Working Paper 12, GRIPS. http://www3.grips.ac.jp/~globalcoe/j/index.html
- Mottaleb, Khondoker Abdul, and Sonobe, Tetsushi, "An Inquiry into the Rapid Growth of the Garment Industry in Bangladesh," GCOE Economics Working Paper 21, GRIPS. http://www3.grips.ac.jp/~globalcoe/j/index.html
- Zhang, Haiyang, and Sonobe, Tetsushi, "An Inquiry into the Development of Science Parks in

China," GCOE Economics Working Paper 22, GRIPS. http://www3.grips.ac.jp/~globalcoe/j/index.html

- Otsuka, Keijiro, and Sonobe, Tetsushi, "A cluster-Based Industrial Development Policy for Low-Income Countries." World Bank Policy Research Working Paper No. 5703.
- Mano, Yukichi, Iddrisu, Alhassan, Yoshino, Yutaka, and Sonobe, Tetsushi, "How Can Micro and Small Enterprises in Sub-Saharan Africa Become More productive? The Impacts of Experimental Basic Managerial Training." World Bank Policy Research Working Paper No. 5755. Also GRIPS Discussion Paper, 11-06, National Graduate Institute for Policy Studies.
- Babur, Wasim Arif, and Sonobe, Tetsushi, "Virtual Incubation in Industrial Clusters: A Case Study in Pakistan." GRIPS Discussion Paper, 11-08, National Graduate Institute for Policy Studies.
- Sonobe, Tetsushi, Higuchi, Yuki, and Otsuka, Keijiro, "Productivity Growth and Job Creation in the Development Process of Industrial Clusters," GRIPS Discussion Papers, 11-22, National Graduate Institute for Policy Studies.
- Girum Abebe and Sonobe, Tetsushi, "Management Practices, Self-Selection into Management Training Participation, and Training Effects in the Garment Industry in Ethiopia," GRIPS Discussion Papers 11-23, National Graduate Institute for Policy Studies.

Ph.D. Advises

Yue Yaguchi

Determinants of Atmospheric Environment Preservation: Case Studies in Japan and China. Tokyo Metropolitan University, March 2003. Present position: Associate Professor at Takushoku University.

Eiji Yamamura

Spatial Firm Survival Model: A Case Study of Industrial Development Process in Postwar Japan. Tokyo Metropolitan University, March 2004. Present position: Professor at Seinan University.

Alhassan Iddrisu

Cluster-Based Industrial Development: The Case of Metalwork and Vehicle Repair in Suame Magazine, Ghana. National Graduate Institute for Policy Studies, September 2006. Present Position: Ag. Director, Economic Planning Division, Ministry of Finance and Economic Planning, Ghana.

Khondker Abdul Mottaleb

Human Capital and Industrial Development: The Case of the Knitwear Garment Industry in Bangladesh. National Graduate Institute for Policy Studies, September 2007. Present Position: Senior Research Officer, Public Administration Training Centre, Dhaka, Bangladesh.

Vu Hoang Nam

The Roles of Human Capital and Social Capital in the Transformation of Village-Based Industrial Clusters: Evidence from Northern Vietnam. National Graduate Institute for Policy Studies, October 2008. Present Position: Postdoctoral researcher, the Global Center of Excellence Program, National Graduate Institute for Policy Studies.

Haiyang Zhang

Inquiry into the Development of Science Parks and Business Incubators in China. National Graduate Institute for Policy Studies, September 2009. Present affiliation: State Intellectual Property Office of the P.R.C.

Babur Wasim Arif

Cluster-Based Industrial Development: The Case of the Electrical Fittings Cluster in Sargodha, National Graduate Institute for Policy Studies, September 2010. Present affiliation: Federal Bureau of Statistics, Pakistan.

Girum Abebe Tefera

Essays in enterprise development: The roles of management skill training and learning from abroad in the Ethiopian manufacturing sector, National Graduate Institute for Policy Studies, September 2011. Present affiliation: Ethiopian Development Research Institute.

OTHER PROFESSIONAL ACTIVITIES

A member of the program committee for the Japanese Economic Association's semiannual meetings held in the fall 2001 at Hitotsubashi University, in the fall 2008 at Kinki University, and in the fall 2012 at Kyushu Sangyo University.

REFEREING EXPERIENCE: Annals of Regional Science, China Economic Review, Economic Development and Cultural Change, Economic Studies Quarterly, Journal of Development Studies, Journal of Economic Geography, Journal of Economic Theory, Journal of the Japanese and International Economies, Journal of Economics, Journal of Evolutionary Economics, World Development.

PRESENTATIONS AT INTERNATIONAL CONFERENCES:

The 4th Villa Mondragone International Economic Seminar hosted jointly by the Third University of Rome, Columbia University, and Stanford University at the Third University of Rome, Frascati, Italy. July 1992.

Conference on Organization, Performance, and Equity: Perspectives on the Japanese Economy. Center for Japan-U.S. Business and Economic Studies, the Stern School of Business, New York University, New York City, USA. March 1995.

Conference in Memory of Professor John C. H. Fei, held at Academia Sinica, Taipei, Taiwan.

- August 1997.
- The Festschrift Conference for Professor T.N. Srinivasan, held by the Department of Economics and Economic Growth Center, Yale University, Connecticut, USA. March 27-28, 1998.
- The National Bureau of Economic Research (NBER) East Asia Seminar on Economics 12th annual conference, held in Hong Kong. June 28-30, 2001.
- The International Food Policy Research Institute's (IFPRI) International Workshop on the Development of Non-farm Economy. Wenzhou, China. March 18 23, 2005.
- Conference on Asian Drivers held by the Institute of Development Studies (IDS), University of Sussex, Brighton, UK. May 9 10, 2005.
- The 26th International Association of Agricultural Economists (IAAE) Conference. Gold Coast, Queensland, Australia. August 12-19, 2006.
- International Symposium on Synergy among Development Assistance, FDI, and Trade in Southern Africa: Poverty Reduction through Economic Growth, hosted by the Ministry of Foreign Affairs, Japan and the Foundation for Advanced Studies on International Development (FASID) at the UN House, Aoyama, Tokyo, Japan. December 1, 2006.
- The 8th Annual Conference, Global Development Network (GDN). Beijing, China.
- Symposium on Small and Medium Enterprises Finance, the United Nations Development Programme (UNDP). Dar es Salaam. February 14, 2007.
- JBIC-FASID-World Bank Seminar on African Enterprise Development: Building Africa's Domestic Enterprises held during the Fourth Tokyo International Conference on African Development (TICAD IV). Yokohama, Japan. May 28-30, 2008.
- Allied Social Science Associations (ASSA) Annual Meeting. San Francisco. January 3-5, 2009.
- Festschrift Conference for Yujiro Hayami, the National Graduate Institute for Policy Studies, Tokyo, Japan. February 27-28, 2009.
- CSAE Conference 2009: Economic Development in Africa. Centre for the Study of African Economies, Department of Economics, University of Oxford. May 22-24, 2009.
- The African Economic Research Consortium's (AERC) Biannual Workshop. Mombasa, Kenya. May 31-June 4, 2009.
- The African Economic Research Consortium's (AERC) Biannual Workshop. Nairobi, Kenya. November 30-December 2, 2009.
- CSAE Conference 2010: Economic Development in Africa. Centre for the Study of African Economies, Department of Economics, University of Oxford, Oxford, UK. May 21-23, 2010.
- The 15th Forum 2000 Conference: Democracy and the Rule of Law. Žofín Palace, Prague, Czech Republic. October 9-11, 2011.